

**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF TENNESSEE
EASTERN DIVISION**

UNITED STATES OF AMERICA,)	
)	
Plaintiff,)	Cr. No.: <u>1:19-cr-10040-JDB</u>
)	
)	21 U.S.C. § 846
vs.)	21 U.S.C. § 841(a)(1)
)	21 U.S.C. § 861(f)
)	21 U.S.C. § 856(a)(1)
)	18 U.S.C. § 2
JEFFREY W. YOUNG, JR.)	
ALEXANDER ALPEROVICH, M.D., and)	
ANDREW RUDIN, M.D.)	<u>FILED UNDER SEAL</u>
)	
Defendants.)	

INDICTMENT

THE GRAND JURY CHARGES:

At all times material to this indictment:

DEFENDANTS

1. Defendant **JEFF YOUNG** (“**YOUNG**”) was a Nurse Practitioner, licensed by the State of Tennessee. **YOUNG** maintained a Drug Enforcement Administration Registration (“DEA”) Number. **YOUNG** was the operator and partial-owner of PREVENTAGENIX, L.L.C. (“PREVENTAGENIX”) in Jackson, TN. **YOUNG** issued prescriptions for controlled substances, including the Schedule II controlled substances Oxycodone, Hydrocodone, and others, and the Schedule IV controlled substances Alprazolam, Diazepam, Clonazepam, and others, at PREVENTAGENIX, outside the course of professional practice and without a legitimate medical purpose. **YOUNG**’s motives in prescribing controlled substances to his patients were often to obtain money, notoriety, and sexual favors.

2. Defendant **ALEXANDER ALPEROVICH (“ALPEROVICH”)** was a Medical Doctor, licensed by the State of Tennessee. **ALPEROVICH** maintained a Drug Enforcement Administration Registration (“DEA”) Number. **ALPEROVICH** acted as the supervising physician for **YOUNG** as **YOUNG** issued prescriptions for controlled substances, including the Schedule II controlled substances Oxycodone, Hydrocodone, and others, and the Schedule IV controlled substances Alprazolam, Diazepam, Clonazepam, and others at PREVENTAGENIX, outside the course of professional practice and without a legitimate medical purpose.

3. Defendant **ANDREW RUDIN (“RUDIN”)** was a Medical Doctor, licensed by the State of Tennessee. **RUDIN** maintained a Drug Enforcement Administration Registration (“DEA”) Number. **RUDIN** acted as the supervising physician for **YOUNG** as **YOUNG** issued prescriptions for controlled substances, including the Schedule II controlled substances Oxycodone, Hydrocodone, and others, and the Schedule IV controlled substances Alprazolam, Diazepam, and Clonazepam, at PREVENTAGENIX, outside the course of professional practice and without a legitimate medical purpose.

CONTROLLED SUBSTANCE STATUTES AND CONTROLLING REGULATIONS

4. The Controlled Substances Act (“CSA”) governed the manufacture, distribution, and dispensing of controlled substances in the United States. With limited exceptions for medical professionals, the CSA made it unlawful for any person to knowingly or intentionally manufacture, distribute, or dispense a controlled substance or conspire to do so.

5. Medical practitioners, such as physicians and nurse practitioners, who were authorized to prescribe controlled substances by the jurisdiction in which they

were licensed to practice medicine, were authorized under the CSA to prescribe, or otherwise distribute, controlled substances, if they were registered with the Attorney General of the United States. 21 U.S.C. § 822(b); 21 C.F.R. § 1306.03. Upon application by the practitioner, the DEA assigned a unique registration number to each qualifying medical practitioner including physicians and nurse practitioners.

6. The CSA and its implementing regulations set forth which drugs and other substances were defined by law as “controlled substances,” and assigned those controlled substances to one of five Schedules (Schedule I, II, III, IV, or V) depending on their potential for abuse, likelihood of physical or psychological dependency, accepted medical use, and accepted safety for use under medical supervision.

7. A controlled substance assigned to Schedule II meant that the drug had a high potential for abuse, was highly addictive, and that the drug had a currently accepted medical use in treatment in the United States or a currently accepted medical use with severe restrictions. Abuse of a Schedule II controlled substance could lead to severe psychological and/or physical dependence. Pursuant to the CSA and its implementing regulations:

a. Hydrocodone was classified as a Schedule II controlled substance after October 2014, before which time it was classified as a Schedule III controlled substance. It was an opioid pain medication.

b. Oxycodone was classified as a Schedule II controlled substance. Oxycodone was sold generically and under a variety of brand names, including OxyContin®, Roxicodone®, Endocet®, and Percocet. Oxycodone, an opioid pain medication, is about fifty percent stronger than Morphine.

c. Fentanyl was classified as a Schedule II controlled substance and is a very potent opioid medication. Fentanyl is not recommended to people who have not previously taken opioid pain medication previously.

d. Hydrocodone, Oxycodone, and Fentanyl were among the Schedule II opioid controlled substances that had the highest potential for abuse and associated risk of fatal overdose.

8. A controlled substance assigned to Schedule IV meant that the drug or other substance had a lower potential for abuse than Schedule II drugs or other substances, the drug or other substance had a currently accepted medical use in the United States, and abuse of the drug or other substances may lead to limited physical dependence or psychological dependence relative to the drugs or other substances in the higher Schedules. Pursuant to the CSA and its implementing regulations:

a. Alprazolam, a benzodiazepine, was classified as a Schedule IV controlled substance. Alprazolam, sometimes prescribed under brand name Xanax, was a medication used to treat anxiety.

b. Clonazepam, a benzodiazepine, was classified as a Schedule IV controlled substance. Clonazepam, sometimes prescribed under brand name Klonopin, was a medication used to treat anxiety and seizures.

c. Diazepam, a benzodiazepine, was classified as a Schedule IV controlled substance. Diazepam, sometimes prescribed under brand name Valium, was a medication used to treat anxiety and seizures.

9. Chapter 21 of the Code of Federal Regulations, Section 1306.04 governed the issuance of prescriptions and provided, among other things, that a prescription for a

controlled substance “must be issued for a legitimate medical purpose by an individual practitioner acting in the usual course of his professional practice.”

10. Chapter 21 of the Code of Federal Regulations, Section 1306.04, further directed that “[a]n order purporting to be a prescription issued not in the usual course of professional treatment . . . is not a prescription within the meaning and intent of [the CSA] and the person knowingly filling such a purported prescription, as well as the person issuing it, shall be subject to the penalties provided for violations of the provisions of law relating to controlled substances.”

11. It was well known that the combination of high-dose opioids and benzodiazepines (e.g., Alprazolam, Diazepam, and Clonazepam) in any dose had a significant impact upon the risk of patient intoxication and overdose. For a treating physician to prescribe this combination of high-dose opioids and benzodiazepines for a legitimate medical purpose, the physician needed to determine, at a minimum, that the benefits of the drugs outweighed the risk(s) to the patient’s life.

12. On March 16, 2016, the Centers for Disease Control and Prevention (“CDC”) issued CDC Guidelines for Prescribing Opioids for Chronic Pain. In that guidance, the CDC warned that medical professionals should avoid prescribing opioids and benzodiazepines (e.g. Alprazolam, Diazepam, and Lorazepam) concurrently whenever possible because of the risk of potentially fatal overdose.

13. Prescribing and issuing these two medications around the same time quadrupled the patient’s risk of overdose and death from the prescribed drugs. Moreover, there was a significant risk of diversion when prescribing or issuing these drugs around the same time. Furthermore, a benzodiazepine served as a “potentiator”

for the opioid's euphoric effect by increasing the "high" a user may obtain from opioid and was therefore often sought for this non-legitimate medical purpose.

14. On August 31, 2016, the U.S. Food and Drug Administration ("FDA") issued a "Black Box" Warning, its strongest warning, to the drug labeling of prescription opioid pain medicines and benzodiazepines. The FDA specifically warned that combined use of opioids and benzodiazepines depresses the central nervous system and results in serious side effects, such as slowed or difficult breathing and death. The FDA further warned health care professionals to limit prescribing opioids with benzodiazepines and cautioned that such medications should only be prescribed together when alternative treatment options are inadequate.

15. Urine drug screens were relied upon in the pain-management industry as a means of identifying a patient's non-compliance with the patient's treatment plan. Urine drug screens were used to identify abuse of illicit and controlled substances not prescribed to a patient, and to identify a patient's failure to take drugs prescribed for the patient's treatment of pain.

16. Tennessee's controlled substance monitoring program ("CSMD") was a means of detecting a pain management patient's non-compliance with the patient's treatment plan. A CSMD report contained prescription data for all controlled substances dispensed by pharmacies in the State of Tennessee. Pharmacies were required to report the patient's name, the particular controlled substance and dosage dispensed, the quantity dispensed, the number of days supplied, the prescribing physician's name, the date the prescription was issued, the dispensing pharmacy's name, the type of payment, and the date the controlled substances were dispensed.

17. Chapter 0880-6 of the Rules of the Tennessee State Board of Medical Examiners governed the supervision of nurse practitioners by physicians in Tennessee. According to the regulations, a supervisory physician shall be responsible for ensuring compliance with the applicable standard of care. Rules of Tenn. Bd. Of Med. Ex. 0880-6-.02(6). Additionally, the supervising physician shall develop clinical guidelines in collaboration with the certified nurse practitioner to include a method for documenting consultation and referral. *Id.* Once every ten business days, the supervising physician shall make a personal review of the historical, physical, and therapeutic data and shall so certify by signature on any patient within thirty days—when a controlled substance has been prescribed. Rules of Tenn. Bd. Med. Ex. 0880-6-.02(7)(e).

COUNT ONE
Conspiracy to Distribute and Dispense Controlled Substances
(21 U.S.C. § 846)

18. Paragraphs 1 through 17 of this Indictment are realleged and incorporated by reference as if fully set forth herein.

19. From in or around July 2014 through in or around January 2017, in the Western District of Tennessee, and elsewhere, the defendants, **JEFF YOUNG**, **ALEXANDER ALPEROVICH**, and **ANDREW RUDIN** did knowingly and intentionally combine, conspire, confederate, and agree with each other and with others known and unknown to the Grand Jury), to violate Title 21, United States Code, Section 841(a)(1), that is, to knowingly and intentionally unlawfully distribute and dispense, mixtures and substances containing a detectable amount of Schedule II controlled substances, including Hydrocodone, Oxycodone, and Fentanyl, not for a legitimate medical purpose and outside the course of professional practice.

All in violation of Title 21, United States Code, Section 846.

Purpose of the Drug Conspiracy

20. It was the purpose and object of the conspiracy for the defendants to unlawfully enrich themselves by: (a) prescribing controlled substances without a legitimate medical purpose and outside the course of professional practice; (b) generating large profits from those prescriptions; and (c) diverting the proceeds from those controlled substance prescriptions for the personal use and benefit of the defendants and their coconspirators known and unknown to the Grand Jury.

Manner and Means

21. The manner and means by which the defendants sought to accomplish the purpose and object of the conspiracy included, among other things:

22. Under the supervision of **ALPEROVICH** and **RUDIN**, **YOUNG** used his status as a licensed Nurse Practitioner, his DEA Registration Number, and his medical practice PREVENTAGENIX, to knowingly and intentionally prescribe Fentanyl, Oxycodone, and Hydrocodone; in addition to various benzodiazepines, including Alprazolam, Diazepam, and Clonazepam; and other controlled substances, outside the course of professional practice and not for a legitimate medical purpose.

23. **YOUNG**'s motives for prescribing controlled substances were often to obtain notoriety, status, sex, and money.

24. **YOUNG** promoted his practice PREVENTAGENIX under the motto of "work hard, play harder." **YOUNG** branded himself the "Rock Doc" on account of his affinity for the Rock n' Roll lifestyle. Consistent with his "Rock Doc" brand, Young treated patients while intoxicated on alcohol, marijuana or other controlled substances,

freely prescribed controlled substances to his friends and family, and promoted the use of illicit drugs, including marijuana.

25. **YOUNG** used his power to prescribe controlled substances to promote his television pilot and his podcast, and to have sex with women, including women who were his patients.

26. **YOUNG** intentionally ignored the State's guidelines for prescribing controlled substances.

27. **YOUNG** knowingly and intentionally ignored the inherent risks of overdose, drug abuse, and death that accompany prescriptions of highly addictive opioids, benzodiazepines, and muscle relaxers, both independently and in combination.

28. **YOUNG** prescribed to pregnant patient H.R., Hydrocodone, Oxycodone, and Alprazolam, for no legitimate medical purpose and outside the course of professional conduct. H.R.'s baby was born addicted to opioids with Fetal Abstinence Syndrome.

29. First **ALPEROVICH**, and later **RUDIN** agreed to be **YOUNG's** supervising physician(s) pursuant to Chapter 0880-6 of the Rules of the Tennessee State Board of Medical Examiners.

30. **ALPEROVICH** and **RUDIN** gave **YOUNG's** practice the appearance of legitimacy by lending their names to **YOUNG's** practice at PREVENTAGENIX through allowing the use of their names and licenses to appear on **YOUNG's** prescriptions.

31. **ALPEROVICH** joined the conspiracy in or around December 2015, and continued in it, to in or around July 2016. **ALPEROVICH** purported to supervise

YOUNG at PREVENTAGENIX, and was responsible for reviewing all of **YOUNG's** patient charts for the patients who were issued prescriptions for controlled substances. **ALPEROVICH** supervised and signed off on charts and prescriptions for **YOUNG**, knowing that under **ALPEROVICH's** supervision, **YOUNG** prescribed controlled substances not for a legitimate medical purpose and outside the course of professional practice, and **ALPEROVICH** accepted money derived from the proceeds of the conspiracy in exchange for his purported supervision.

32. **RUDIN** joined the conspiracy in or around August 2016, and continued in it, to in or around January 2017. **RUDIN** purported to supervise **YOUNG** at PREVENTAGENIX, and was responsible for reviewing all of **YOUNG's** patient charts for the patients who were issued prescriptions for controlled substances. **RUDIN** knew that under **RUDIN's** supervision, **YOUNG** prescribed controlled substances not for a legitimate medical purpose and outside the course of professional practice, and **RUDIN** accepted money derived from the proceeds of the conspiracy in exchange for his purported supervision.

33. **YOUNG** was required under Tennessee law to register PREVENTAGENIX as a pain management clinic with the State of Tennessee, but did not.

34. Under the purported supervision of **ALPEROVICH** and **RUDIN** and with their knowledge, **YOUNG**:

- a. Prescribed controlled substances without ever seeing or treating purported patients;
- b. Prescribed the dangerous drug combination known as the "Holy

Trinity,” comprised of opioids (usually Oxycodone), benzodiazepines (usually Alprazolam), and the muscle relaxer Carisoprodol;

c. Prescribed dangerous combinations of opioids and benzodiazepines;

d. Failed to monitor patients for addiction;

e. Failed to monitor patients for diversion of the prescribed drugs into the illicit drug market;

f. Ignored drug screens showing patients were taking illicit drugs;

g. Ignored drug screens showing patients were not taking the controlled substances prescribed them, and therefore, were likely diverting the drugs to other users;

h. Failed to corroborate patients’ reports of pain through x-rays, MRI’s, and other diagnostic tools;

i. Failed to properly examine patients;

j. Failed to properly diagnose patients;

k. Failed to provide treatment plans for patients; and

l. Failed to recommend alternative forms, or modalities, of treatment for pain.

All in violation of Title 21, United States Code, Section 846.

COUNTS TWO THROUGH SEVEN
Unlawfully Distributing and Dispensing Controlled Substances to a Pregnant Woman and Aiding and Abetting
(21 U.S.C. §§ 841(a)(1), § 861(f) and 18 U.S.C. § 2)

35. Paragraphs 1 through 17, and 21 through 34, of this Indictment are

realleged and incorporated by reference as though fully set forth herein.

36. During the dates specified below, in the Western District of Tennessee, Defendant **YOUNG**, aiding and abetting and aided and abetted by others known and unknown to the Grand Jury, did intentionally and knowingly unlawfully distribute and dispense mixtures and substances containing a detectable amount of Schedule II controlled substances, to a pregnant woman, namely Patient H.R., not for a legitimate medical purpose and outside the course of professional practice, as alleged in the following counts;

Count	On or About	Controlled Substances
2	March 5, 2015	Oxycodone
3	March 25, 2015	Hydrocodone
4	April 23, 2015	Hydrocodone
5	May 20, 2015	Hydrocodone
6	June 19, 2015	Hydrocodone
7	July 17, 2015	Oxycodone

All in violation of Title 21, United States Code, Sections 841(a)(1), 861(f), & Title 18, United States Code, Section 2.

COUNTS EIGHT THROUGH FOURTEEN
Unlawfully Distributing and Dispensing Controlled Substances and Aiding and Abetting
(21 U.S.C. § 841(a)(1) & 18 U.S.C. § 2)

37. Paragraphs 1 through 17, and 21 through 34, of this Indictment are realleged and incorporated by reference as though fully set forth herein.

38. During the dates specified below, in the Western District of Tennessee, Defendant **YOUNG**, aiding and abetting and aided and abetted by others known and unknown to the Grand Jury, did intentionally and knowingly unlawfully distribute and dispense, mixtures and substances containing a detectable amount of Schedule II controlled substances, not for a legitimate medical purpose and outside the course of professional practice, as alleged in the following counts:

Count	On or About	Controlled Substances	"Patient"
8	June 7, 2016	Hydrocodone	KS
9	July 12, 2016	Hydrocodone	KS
10	August 6, 2016	Hydrocodone	KS
11	September 23, 2016	Hydrocodone	KS
12	October 11, 2016	Oxycodone	KS
13	October 11, 2016	Oxycodone	KSL
14	November 15, 2016	Oxycodone	KSL

All in violation of Title 21, United States Code, Section 841(a)(1), & Title 18, United States Code, Section 2.

COUNT FIFTEEN
Maintaining a Drug-Involved Premises and
Aiding and Abetting
(21 U.S.C. § 856(a)(1) & 18 U.S.C. § 2)

39. The allegations contained in paragraphs 1 through 17, and 21 through 34, of this Indictment are realleged and incorporated by reference as though fully set forth herein.

40. From in or around July 2014 and continuing through in or around January 2017, in the Western District of Tennessee, the Defendant, **YOUNG**, aiding and abetting and aided and abetted by others, did unlawfully and knowingly use and maintain a place known as PREVENTAGENIX, located at 162 Murray Guard Drive, Jackson, Tennessee 38305, for the purposes of distributing Schedule II controlled substances outside the usual course of professional practice and without a legitimate medical purpose in violation of Title 21, United States Code, Section 856(a)(1) and Title 18, United States Code, Section 2.

NOTICE OF CRIMINAL FORFEITURE
(21 U.S.C. § 853)

41. The allegations contained in Counts 1 through 15 of this Indictment are hereby realleged and incorporated by reference for the purpose of alleging forfeiture pursuant to Title 21, United States Code, Section 853.

42. Pursuant to Title 21, United States Code, Section 853, the United States gives notice to defendants **YOUNG**, **ALPEROVICH**, and **RUDIN**, that upon conviction of an offense in violation of Title 21, United States Code, Section 841, the following property shall be subject to forfeiture:

- a. All property constituting, or derived from, any proceeds obtained,

directly or indirectly, as the result of such offense; and

b. All property used, or intended to be used, in any manner or part, to commit, or to facilitate the commission of, the offense.

43. The defendants **YOUNG, ALPEROVICH, and RUDIN** are notified that upon conviction, a money judgment may be imposed equal to the total value of the property subject to forfeiture.

44. In the event that one or more conditions listed in Title 21, United States Code, Section 853(p) exists, the United States will seek to forfeit any other property of the defendants up to the total value of the property subject to forfeiture.

A TRUE BILL:

FOR PERSON

DATED: _____

**D. MICHAEL DUNAVANT
UNITED STATES ATTORNEY**

**JOSEPH BEEMSTERBOER
CHIEF, FRAUD SECTION, CRIMINAL DIVISION
U.S. DEPARTMENT OF JUSTICE**

**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF TENNESSEE
EASTERN DIVISION**

UNITED STATES OF AMERICA,

Plaintiff,

vs.

**JEFFREY W. YOUNG, JR.
ALEXANDER ALPEROVICH, M.D., and
ANDREW RUDIN, M.D.**

Defendants.

)
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) **Cr. No.:** 1:19-cr-10040-JDB
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) **21 U.S.C. § 846**
) **21 U.S.C. § 841(a)(1)**
) **21 U.S.C. § 861(f)**
) **21 U.S.C. § 856(a)(1)**
) **18 U.S.C. § 2**
)

) **FILED UNDER SEAL**
)
)

NOTICE OF PENALTIES

COUNT ONE

**Conspiracy to Distribute and Dispense Controlled Substances
(21 U.S.C. § 846)**

[A term of imprisonment for not more than twenty years, a fine of up to \$1,000,000, or both, and at least three years supervised release, and a mandatory special assessment of \$100, see 18 U.S.C. § 3013(a).]

COUNTS TWO THROUGH SEVEN

**Unlawfully Distributing and Dispensing Controlled Substances to a Pregnant
Woman and Aiding and Abetting
(21 U.S.C. §§ 841(a)(1), § 861(f) and 18 U.S.C. § 2)**

[For a first offense: a term of imprisonment for twice the maximum punishment otherwise authorized, or 40 years, a fine of up to \$1,000,000, and at least twice any term of supervised release, or at least six years supervised release, and a mandatory special assessment of \$100, see 18 U.S.C. § 3013(a); except to the extent a greater minimum sentence is otherwise provided, a term of imprisonment under this section shall not be less than one year; in any case of any sentence imposed under this section, imposition or execution of such sentence shall not be suspended and probation shall not be granted; an individual convicted of an offense for which a mandatory minimum term of imprisonment is applicable, shall not be eligible for parole until the individual has served the mandatory term of imprisonment as enhanced in this section.]

[For any subsequent offenses: any person who violates this section after a prior conviction under this section has become final, a term of imprisonment up to three times the maximum punishment otherwise authorized, or 60 years, a fine of up to \$1,000,000, and at least three times any term of supervised release otherwise authorized for a first offense, or 18 years, and a mandatory special assessment of \$100, see 18 U.S.C. § 3013(a); except to the extent a greater minimum sentence is otherwise provided, a term of imprisonment under this section shall not be less than one year; in any case of any sentence imposed under this section, imposition or execution of such sentence shall not be suspended and probation shall not be granted; an individual convicted of an offense for which a mandatory minimum term of imprisonment is applicable, shall not be eligible for parole until the individual has served the mandatory term of imprisonment as enhanced in this section.]

COUNTS EIGHT THROUGH FOURTEEN

**Unlawfully Distributing and Dispensing Controlled Substances and Aiding and Abetting
(21 U.S.C. § 841(a)(1) & 18 U.S.C. § 2)**

[A term of imprisonment for not more than twenty years, a fine of up to \$1,000,000, or both, and at least three years supervised release, and a mandatory special assessment of \$100, see 18 U.S.C. § 3013(a).]

COUNT FIFTEEN

**Maintaining a Drug-Involved Premises and
Aiding and Abetting
(21 U.S.C. § 856(a)(1) & 18 U.S.C. § 2)**

[A term of imprisonment for not more than twenty years, a fine of up to \$500,000, or both, and at least three years supervised release, and a mandatory special assessment of \$100, see 18 U.S.C. § 3013(a).]